# EE 527 MICROFABRICATION

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## SILICON CRYSTAL STRUCTURE

- Silicon atoms in a crystal lattice are regularly arranged in a lattice structure.
- Material properties, such as Young's modulus of elasticity, mobility, and piezoresistivity) and chemical etch rates of silicon bulk are orientation dependent.



 $1_{1}$ 

# SILICON CRYSTAL STRUCTURE



# CRYSTAL PLANES AND ORIENTATIONS

- Miller indices: hkl
- The following steps are used to determine the three directional indices,
  - A vector of convenient length is positioned such that it passes through the origin of the coordinate system.
  - The length of the vector projection on each of the three axes is determined.
  - These three numbers are multiplied or divided by a common factor to reduce them to the smallest integer values.
  - The three indices, not separated by commas, are enclosed in square bracket: [hkl]



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### CRYSTAL PLANES AND ORIENTATIONS

- Miller indices: hkl
- The three integers describing a plane as following,
  - Find the intercepts of the plane with the crystal axes and express these intercepts as integral multiplies of the basis vectors
  - Take the reciprocal of the three integers and reduce these to the smallest set of integers h, k, l.
  - Label the plane using parentheses (hkl)



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# CRYSTAL PLANES AND ORIENTATIONS

Single element notation Family notation Directions [hkl] <hkl> Planes (hkl) {hkl} Copyright ©2012 Pearson Education, publishing as Prentice Hall (001)1005 ļ [100] (010){111] <100> {100} [100] ------(100) <100> WASHINGTON © Karl F Böhringer/TC Chen Autumn 2013

 TABLE 3.1
 Summary of notation for planes, directions, and their families.

### ANISOTROPIC ETCHING OF SILICON - 1

- Differing hybridized (sp<sup>3</sup>) orbital orientation on different crystal planes causes drastic differences in etch rate.
- Typically, etch rates are: (110) > (100) >>> (111).
- The (111) family of crystallographic planes are normally the "stop" planes for anisotropic etching.
- There are 8 (111) planes along the  $\pm x \pm y \pm z$  unit vectors.
- Intersections of these planes with planar bottoms produce the standard anisotropic etching structures for (100) Si wafers:
  - V-grooves
  - pyramidal pits
  - pyramidal cavities

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# ANISOTROPIC WET ETCHING

# ANISOTROPIC ETCHING OF SILICON - 2





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RULES OF ANISOTROPIC ETCHING

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- Eliminate the restriction that the edges of a window must be a noninterrupted straight line.
- Next, eliminate the restriction that the edges must be parallel to <110> directions.
- Convex corner:
  - solid angles of masked region less than 180°.
  - Corners tend to be undercut.
- Concave corner:
  - solid angles of masked region greater than 180°.
  - Corners tend to be stopped at <111>.



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# ANISOTROPIC ETCHING OF SILICON - 3

Symmetrical, anisotropically etched pyramidal pit:





Arbitrary mask features will become anisotropically etched to the [110]-aligned rectangle which contains them:





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### HYDROXIDE ETCHING OF SILICON

- Several hydroxides are useful:
  - KOH, NaOH, CeOH, RbOH, NH<sub>4</sub>OH, TMAH: (CH<sub>3</sub>)<sub>4</sub>NOH
- Oxidation of silicon by hydroxyls to form a silicate:
  - Si + 2OH<sup>-</sup> + 4h<sup>+</sup>  $\rightarrow$  Si(OH)<sub>2</sub><sup>++</sup>
- Reduction of water:
  - $4H_2O \rightarrow 4OH^- + 2H_2 + 4h^+$
- Silicate further reacts with hydroxyls to form a water-soluble complex:

−  $Si(OH)_2^{++} + 4OH^- \rightarrow SiO_2(OH)_2^{-2} + 2H_2O$ 

- Overall redox reaction is:
  - $\operatorname{Si} + 2\operatorname{OH}^- + 4\operatorname{H}_2\operatorname{O} \rightarrow \operatorname{Si}(\operatorname{OH})_2^{++} + 2\operatorname{H}_2 + 4\operatorname{OH}^-$



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# KOH ETCHING OF SILICON - 1

- Typical and most used of the hydroxide etches.
- A typical recipe is:
  - 250 g KOH
  - 200 g normal propanol (isopropanol has too low of a flash point)
  - 800 g H<sub>2</sub>O
  - Use at 80°C with agitation
- Etch rates:
  - -~ ~1  $\mu m/min$  for (100) Si planes; stops at p^++ layers
  - ~14 Angstroms/hr for  $Si_3N_4$
  - ~20 Angstroms/min for SiO<sub>2</sub>
- Anisotropy: (110):(100):(111) ~ 600:400:1
- Masking films: SiO<sub>2</sub>, Si<sub>3</sub>N<sub>4</sub>, but not photoresist.



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# KOH ETCHING OF SILICON - 2

- Simple hardware:
  - Hot plate & stirrer.
  - Keep covered or use reflux condenser to keep propanol from evaporating.
- Presence of alkali metal (potassium, K) makes this completely incompatible with MOS or CMOS processing!
- Comparatively safe and non-toxic, aside from the high pH of the KOH solution.
  - It is still a very strong alkali solution which can cause burns!



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# POTASSIUM HYDROXIDE (KOH) NFPA704M code = 3-0-1; CAS # [1310-58-3] considered a slightly stronger base at room temp., KOH is a white solid, usually pellets or powder very hygroscopic standard reagent concentration is 97% primary hazards: can cause extremely severe burns to the skin treat with 1% acetic acid solution or common household vinegar tissues have no natural defense to strong bases, so tissue damage can be greater than that of a strong acid





# POTASSIUM HYDROXIDE (KOH) - 2

primary hazards:

- can cause extremely severe burns to the cornea
  - treat with 1% boric acid to neutralize after several minutes of irrigation with water
- nonflammable by itself, but can trigger the ignition of other materials
- very exothermic upon dissolving in H<sub>2</sub>O
  - 10 kcal/mole
  - hot enough to ignite or melt some materials



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